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Approved for use through 19/30/2000. OMB 0651-0031  
Patent and Trademark Office: DEPARTMENT OF COMMERCE

2662  
WTH

|   |                      |                        |           |
|---|----------------------|------------------------|-----------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/097,307             |           |
|   | Filing Date          | June 12, 1998          |           |
|   | First Named Inventor | Anand Narasimhan       |           |
|   | Group Art Unit       | 2662                   |           |
|   | Examiner Name        | Logsdon, J.            |           |
| Total Number of Pages in This Submission  | 15                   | Attorney Docket Number | 02964P004 |

| ENCLOSURES (check all that apply)  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div>Return Postcard</div> |
| Remarks  |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Eric S. Hyman, Reg. No. 30,139<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |
| Signature                                  |  |
| Date                                       | February 22, 2001  |

| CERTIFICATE OF MAILING   |                      |      |          |
|--|----------------------|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 22, 2001 |                      |      |          |
| Typed or printed name  | Lillian E. Rodriguez |      |          |
| Signature  |                      | Date | 02/22/01 |

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PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete if Known

|                        |                          |
|------------------------|--------------------------|
| Application Number     | 09/097,307               |
| Filing Date            | 06/12/98                 |
| First Named Inventor   | Anand Narasimhan, et al. |
| Examiner Name          | Logsdon, J.              |
| Group Art Unit         | 2662                     |
| Attorney Docket Number | 02964P004                |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor &amp; Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. FILING FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101               | 710                   | 201               | 355                   | Utility filing fee     |          |
| 106               | 320                   | 206               | 160                   | Design filing fee      |          |
| 107               | 490                   | 207               | 245                   | Plant filing fee       |          |
| 108               | 710                   | 208               | 355                   | Reissue filing fee     |          |
| 114               | 150                   | 214               | 75                    | Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

| Total Claims              | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| 14                        | 20** =       | 0 X 18.00 =    | \$0.00   |
| Independent Claims        | 2 - 3** =    | 0 X 80.00 =    | \$0.00   |
| Multiple Dependent Claims |              |                |          |

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description   |
|-------------------|-----------------------|-------------------|-----------------------|---|
| 103               | 18                    | 203               | 9                     | Claims in excess of 20                                    |
| 102               | 80                    | 202               | 40                    | Independent claims in excess of 3                         |
| 104               | 270                   | 204               | 135                   | Multiple Dependent claim                                  |
| 109               | 80                    | 209               | 40                    | **Reissue independent claims over original patent         |
| 110               | 18                    | 210               | 9                     | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105               | 130                   | 205               | 65                    | Surcharge - late filing fee or oath  |          |
| 127               | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139               | 130                   | 139               | 130                   | Non-English specification  |          |
| 147               | 2,520                 | 147               | 2,520                 | For filing a request for ex parte reexamination                            |          |
| 112               | 920                   | 112               | 920                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113               | 1,840                 | 113               | 1,840                 | Requesting publication of SIR after Examiner action                        |          |
| 115               | 110                   | 215               | 55                    | Extension for response within first month                                  |          |
| 116               | 390                   | 216               | 195                   | Extension for response within second month                                 |          |
| 117               | 890                   | 217               | 445                   | Extension for response within third month                                  |          |
| 118               | 1,390                 | 218               | 695                   | Extension for response within fourth month                                 |          |
| 128               | 1,890                 | 228               | 945                   | Extension for response within fifth month                                  |          |
| 119               | 310                   | 219               | 155                   | Notice of Appeal   |          |
| 120               | 310                   | 220               | 155                   | Filing a brief in support of an appeal                                     |          |
| 121               | 270                   | 221               | 135                   | Request for oral hearing   |          |
| 138               | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140               | 110                   | 240               | 55                    | Petition to revive - unavoidably   |          |
| 141               | 1,240                 | 241               | 620                   | Petition to revive - unintentionally                                       |          |
| 142               | 1,240                 | 242               | 620                   | Utility issue fee (or reissue)   |          |
| 143               | 440                   | 243               | 220                   | Design issue fee   |          |
| 144               | 600                   | 244               | 300                   | Plant issue fee  |          |
| 122               | 130                   | 122               | 130                   | Petitions to the Commissioner  |          |
| 123               | 50                    | 123               | 50                    | Petitions related to provisional applications                              |          |
| 126               | 180                   | 126               | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581               | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146               | 710                   | 246               | 355                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149               | 710                   | 249               | 355                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179               | 710                   | 279               | 355                   | Request for Continued Examination (RCE)                                    |          |
| 169               | 900                   | 169               | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Typed or Printed Name Eric S. Hyman, Reg. No. 30,139

Signature

Date

02/22/01

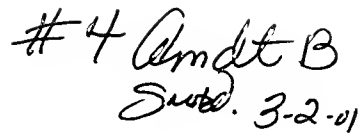
## Complete (if applicable)

Reg. Number

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02-2666

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: J. Logsdon

Art Group: 2662

RECEIVED  
MAR 1 2001  
TC 200-3 (Rev. 10-00)

For: **SCALABLE ARCHITECTURE FOR  
TRANSMISSION OF MESSAGES  
OVER A NETWORK**

## RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action mailed November 22, 2000, Applicants respectfully request reconsideration of the above-identified application in view of the following remarks.

**IN THE CLAIMS (Unmarked Version)**

Presented below is pending claim 1 unmarked, and newly added claims 2-14. No new matter has been added.

1. (Unchanged) A system for scalable architecture for the transfer of messages in one of a plurality of formats to a user in at least a second one of said plurality of formats comprising: